

DBHDS

Virginia Department of
Behavioral Health and
Developmental Services

DBHDS Settlement Agreement Stakeholder Group Meeting

July 9, 2012

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Assistant Commissioner

FY12 Agreement Milestones

Milestone (March 6, 2012 – June 30, 2012)	Due Date
60 ID waiver slots for individuals leaving training centers	June 30, 2012
275 community ID waiver slots for individuals on urgent wait list	June 30, 2012
150 Individual and Family Developmental Disabilities (DD) waiver slots	June 30, 2012
Train CSB emergency services personnel on new crisis response system	June 30, 2012
At least one mobile crisis team in each Region to respond to crises on-site within three hours	June 30, 2012
At least one crisis stabilization program in each Region	June 30, 2012
Implement discharge and transition planning processes at all training centers	June 30, 2012
All individuals residing in a training center shall have a discharge plan	June 30, 2012
Collect and analyze reliable data from at least one of eight domains	June 30, 2012

FY13 Agreement Milestones (1)

Milestone (July 1, 2012– June 30, 2013)	Due Date
160 ID waiver slots for individuals leaving training centers	June 30, 2013
225 community ID waiver slots for individuals on urgent wait list (25 targeted for youth in large ICFs or NFs)	June 30, 2013
25 Individual and Family Developmental Disabilities (DD) waiver slots (15 targeted for youth in large ICFs or NFs)	June 30, 2013
700 individuals receiving services from the Individual and Family Supports Fund	June 30, 2013
At least two mobile crisis team in each Region to respond to crises on-site within two hours	June 30, 2013
Additional crisis stabilization units as determined necessary by the Commonwealth	June 30, 2013
Employment First implementation plan to increase integrated date opportunities for individuals in the target population	September 6, 2012
A plan to cease residential operations at four of five training centers by FY2021	March 6, 2013
A plan to increase access to independent living options	March 6, 2013

FY13 Agreement Milestones (2)

Milestone (July 1, 2012– June 30, 2013)	Due Date
Establish a one-time \$800,000 fund to provide and administer rental assistance in accordance with plan to increase independent living options	March 6, 2013
Collect and analyze reliable data from several of eight domains	June 30, 2013
Commonwealth shall collect measures from CSBs and other community providers	March 6, 2013
Case managers shall meet with individuals face to face at least every 30 days if the individual meets certain criteria	March 6, 2013
Commonwealth shall collect data on the number, type, and frequency of case manager contacts	March 6, 2013
Core-competency based training curriculum for case managers	March 6, 2013
More frequent licensing inspections for providers who support individuals meeting certain criteria	March 6, 2013
Licensure process assesses adequacy of individualized supports and services provided to person receiving supports under the Agreement	March 6, 2013

Serving Individuals in the Most Integrated Setting

“To prevent the unnecessary institutionalization of individuals with ID/DD and to provide them opportunities to live in the most integrated settings appropriate to their needs consistent with their informed choice, the Commonwealth shall develop and provide the community services described...”

Target Population

- Individuals with ID/DD who meet any of the following:
 - Currently reside at any of the training centers;
 - Meet the criteria for the Intellectual Disability (ID) waiver or Developmental Disability (DD) waiver wait lists; or,
 - Currently reside in a nursing home or Intermediate Care Facility (ICF).

Medicaid Waiver Slots

Virginia will create 4,170 waiver slots by June 30, 2021:

State Fiscal Year	Individuals in Training Centers to Transition to the Community	ID Waiver Slots for Individuals on Urgent Wait List	DD Waiver Slots for Individuals on Wait List
2012 ¹	60	275	150
2013	160	225*	25**
2014	160	225*	25**
2015	90	250*	25**
2016	85	275	25
2017	90	300	25
2018	90	325	25
2019	35	325	25
2020	35	355	50
2021	0	360	75
Total	805	2915	450

1. These FY2012 slots have already been funded and assigned to individuals.

*25 slots each year are prioritized for individuals less than 22 years who reside in nursing homes or large ICFs.

**15 slots each year are prioritized for individuals less than 22 years who reside in homes or large ICFs.

Medicaid Waiver Slots

- Accomplishments
 - Slots distributed for FY12
 - Slots distributed for FY13
 - Additional slots created by General Assembly
- Other Activities
 - Determine methodology to reach individuals less than 22 years in large ICFs or NFs
- Barriers/Issues/Challenges
 - None

Individual & Family Support Program

- Required
 - New program for up to 1,000 individuals per year who are not receiving ID or DD waiver services
 - Program begins in 2013 with 700 slots
- Accomplishments
 - Workgroup formed
 - Draft regulations under review
 - Working on draft application and other materials
- Other Activities
 - Approval of regulations
 - Hire staff to operate program
 - Outreach to individuals and families
- Barriers/Issues/Challenges
 - Timely navigation of regulatory process

Crisis Services (1)

- Required
 - Implementation of a statewide crisis system for individuals with ID/DD.
 - Must provide 24/7 support to individuals experiencing crisis and their families through in-home supports and community-based crisis services
 - Must provide crisis prevention and proactive planning to avoid potential crises.
 - Mobile crisis teams to be available 24/7 and respond to on-site crisis within three hours in FY12, within two hours in FY13, and one hour (urban)/two hours (rural) in FY14.
 - Must establish crisis stabilization programs as short-term alternatives to hospitalization for individuals in crisis.

Crisis Services (2)

- **Accomplishments**
 - Adoption the Systemic Therapeutic Assessment Respite and Treatment (START) Model
 - Implementation to begin this month for adults with ID/DD
 - Data system will provide information about program operation and effectiveness
- **Other Activities**
 - Full implementation by January 2013
 - Determine FY14 program costs
- **Barriers/Issues/Challenges**
 - Children's crisis services

START

Implementation Schedule

On-Site Crisis Assessments:

- Region I (Central Virginia):
October 2012
- Region II (Northern Virginia):
October 2012
- Region III (Southwest Virginia):
August 2012
- Region IV (Greater Richmond/
Petersburg Area):
September 2012
- Region V (Hampton Roads):
October 2012

Crisis Stabilization:

- Region I (Central Virginia):
October 2012
- Region II (Northern Virginia):
October 2012
- Region III (Southwest Virginia):
January 2012
- Region IV (Greater Richmond/
Petersburg Area):
November 2012
- Region V (Hampton Roads):
January 2012

Employment First Policy (1)

- **Required**
 - Virginia must maintain membership in the State Employment Leadership Network (SELN)
 - Virginia must establish an Employment First policy for the target population, which includes:
 - Service providers offer the option of helping individuals into employment *first* before offering other services
 - The goal of employment is to support individuals in earning minimum or competitive wages
 - Employment services and goals must developed and discussed at least annually
 - Virginia must hire an employment service coordinator
 - Virginia must develop an implementation plan to increase integrated day opportunities for individuals in the target population
- **Accomplishments**
 - DBHDS employment coordinator hired spring 2011
 - Employment First policy initiative began October 2011 for all disabilities
 - DBHDS and other VA organizations are active participants in the SELN

Employment First Policy (2)

- **Other Activities**
 - SELN Advisory Committee working with DBHDS to gather data and put together implementation plan
- **Barriers/Issues/Challenges**
 - Definitions
 - Data
 - Waiver changes

Community Living Options (Housing)

- **Required**
 - Develop a plan to increase independent housing options for the target population
 - Includes a one-time fund of \$800,000 to provide rental assistance in accordance with the plan above
 - Hire a housing coordinator
- **Accomplishments**
 - DBHDS hired housing coordinator in spring 2012
 - 811 NOFA application
 - Engagement of Technical Assistance Collaborative (TAC)
- **Other Activities**
 - Housing Plan
- **Barriers/Issues/Challenges**
 - Partnership between housing and service agencies
 - Funding

Discharge Planning & Transition from Training Centers

- Required
 - Discharge plans will be developed for all training center residents
 - DBHDS will ensure that personal support teams, in collaboration with CSB case managers, provide individuals and their authorized representatives with specific options for types of community placements, services and supports based on individuals' needs and desires.
 - DBHDS will ensure training center staff is educated about community services and supports to propose appropriate options to individuals
 - Community Integration Managers will be established at each training center
 - Training must be provided to training center regarding the terms of the Agreement, community living options, and the new discharge process
 - DBHDS must continue Person-Centered planning and thinking training
 - Regional Support Teams must be developed

Discharge Planning & Transition from Training Centers

- Required
 - The following timelines will be applied to the discharge process:
 - Discharge plan will be developed within 30 days of admission;
 - Virginia will ensure a discharge plan is developed within 6 months for those already in training centers;
 - Discharge plans must be updated within 30 days prior to an individual's discharge;
 - Once an individual has selected a community provider and the provider agrees to serve the individual, discharge will occur within 6 weeks;
 - Post-move monitoring will occur at a minimum of 30, 60, and 90 days following discharge.

Discharge Planning & Transition from Training Centers

- Accomplishments
 - 60 individuals have transitioned from SVTC and CVTC since November 2011 using the new discharge process
 - All five Community Integration Managers hired
 - Departmental Instruction revised
 - Regional trainings underway
 - Discharge plans in place for all individuals residing at training centers
 - Post move monitoring in place
- Other Activities
 - Discharge database
 - PCT training
 - Education at training centers about Agreement and community options

Discharge Planning & Transition from Training Centers

- Barriers/Issues/Challenges
 - Resolution of legal matters
 - Training and assistance for providers
 - Waiver changes to meet those with high needs
 - Planning and building capacity
 - Small group of individuals with no Medicaid

Risk Management and Incident Reporting System

- Required
 - DBHDS will require all training centers, CSBs, and other community providers to implement risk management and quality improvement processes, including establishment of uniform risk triggers and thresholds.
 - Virginia will continue to require staff to report:
 - any suspected or alleged incident of abuse or neglect as defined in Virginia Code § 37.2-100;
 - serious injury as defined in 12 VAC 35-115-30; and,
 - deaths
 - Monitoring and oversight by implementing a real time, web-based incident report system and reporting protocol.

Data Collection and Analysis

- Virginia will collect data about individuals receiving services under this agreement and analyze at least one outcome measure from each of the eight identified focus areas.
- This action will include a subset of measures that CSBs and other community providers will be required to report to DBHDS.
- DBHDS will establish Regional Quality Councils to meet quarterly and assess relevant data, identify trends, and recommend responsive actions for each health planning Region

Data Collection Areas

1. Safety and freedom from harm
2. Physical, mental, and behavioral health and well being, timeliness and adequacy of interventions
3. Avoiding crisis
4. Stability
5. Choice and self-determination
6. Community inclusion
7. Access to services
8. Provider capacity

Sources of Information

1. Providers (Outcomes/QI Programs/Incident Reports)
2. Licensing visits/ Investigations
3. Human Rights Investigations
4. Case Management Visits
5. Service Planning
6. Quality Service Reviews
7. Mortality Reviews

Case Management

- Face-to-face visit at least every 30 days for individuals in the following groups:
 - Receives services from providers with conditional or provisional licenses;
 - Has more intensive behavioral or medical needs as defined according to their Supports Intensity Scale (SIS) category;
 - Has an interruption of service of more than 30 days;
 - Encounters a serious crisis or has multiple less serious crises within a 3-month period;
 - Has transitioned from a training center in the previous 12 months; or
 - Resides in a congregate setting of 5 or more individuals.
- Collect data regarding number, type, and frequency of case management visits as well as key indicators

Licensure Inspections

- DBHDS will continue to conduct regular, unannounced licensing inspections of community providers.
- Within one year, DBHDS will begin more frequent licensure inspections of community providers who meet specific criteria.
 - Receive services from providers having conditional or provisional licenses;
 - Have more intensive behavioral or medical needs as defined by the Supports Intensity Scale (“SIS”) category representing the highest level of risk to individuals;
 - Have interruption of service greater than 30 days
 - Encounter the crisis system for a serious crisis or for multiple less serious crises within a three-month period;
 - Have transitioned from a Training Center within the previous 12 months; or
 - Reside in congregate setting of 5 or more individuals

Core Competency-Based Training Curriculum

- Within one year, Virginia will develop a core-competency based training curriculum for case managers.
- DBHDS will implement curriculum for all staff who provide services under the agreement.

Quality Service Reviews

- DBHDS will implement quality service reviews to evaluate the quality of services at the individual, provider, and state-wide level for a statistically significant sample of individuals receiving services under the agreement.
- These reviews will include face-to-face interviews with individuals, professional staff, and other people involved in an individual's life.

Quality and Risk Management System

- Accomplishments
 - Met with providers
 - Quality Improvement planning
 - Case management workgroup
 - Licensing ID measures workgroup
 - Training workgroup
 - CM curriculum and modules
 - National Core Indicators (NCI)
- Other Activities
 - Data warehouse
 - Mortality review committee
 - Data collection
 - Quality Service Reviews
 - Regional Quality Councils
- Barriers/Issues/Challenges
 - Time

Independent Reviewer

- The independent reviewer will:
 - conduct the factual investigation and verification of data and documentation to determine whether the Commonwealth is in compliance with the agreement
 - conduct reviews in six months cycles with the first report due nine months after the effective date of the agreement
- Virginia and DOJ have jointly selected Donald Fletcher as the independent reviewer at a cost of \$300,000 GF annually.

Waiver Changes

- DBHDS and DMAS jointly planning for waiver changes over the next two years
 - Move to needs-based waivers (not ID/DD based)
 - Establish two waivers
 - One without congregate residential
 - One with congregate residential
 - Address need rate changes to serve those with the most complex needs and align incentives
 - Use Individual Resource Allocation
- Overall plan on how and when waivers will be transformed due out this summer

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Questions?
